FORCE 4 technology

POWERING

REGULATORY COMPLIANCE

mComply®

Public Sector Regulatory Compliance

The Power of Mobile Synergy™

Regulatory Compliance in SA

In response to today's demanding economic and regulatory climate, many municipalities are transforming operations to enhance service delivery and performance while driving down costs and investment. Forward-looking municipalities are rethinking their business models with an eye on embedding strong fiscal governance, and compliance mandates. This requires a more strategic look at oversight functions specifically compliance, while maximizing the leverage of information and human resources.

Municipalities across South Africa are implementing solutions like mComply from Force4Technology to obtain a single view of compliance that is articulated across the entire municipality, providing a uniform compliance taxonomy, approach, and a standardized methodology for compliance management.

With a secure cloud-based, centralized platform for monitoring, measuring, and managing compliance, municipalities strengthen governance processes and facilitate effective and inclusive compliance reporting for the Accounting Officer, Senior Management, Compliance Officer, Oversight Committees of Council including Audit Committees and Municipal Public Accounts Committee to help meet defined compliance mandates from all relevant local government legislation. This single view of compliance allows the oversight functions to better leverage compliance information. With greater visibility and control of compliance across the municipality, means they can gain a real competitive advantage, enabling them to make better decisions in alignment with their strategic objectives and compliance mandates. This oversight is not limited to the municipality, more importantly due to the inherent scalable architecture of mComply, compliance intelligence and data derived from all municipalities can be consolidated and leveraged to target oversight functions at a district, provincial and national government level including government departments and State-Owned Entities (SOEs).

Call to Action

In order for municipality staff to ensure compliance to the official regulations (acts) set forth by Government, the most likely way of managing the regulatory compliance is via copious amounts of emails, attachments, follow-up emails, telephone calls, voice messages and from a system point of view: a conglomeration of implementation and monitoring checklists and legacy systems.

This way of managing compliance may become the norm or is the current reality in many municipalities. However, when many Acts are involved, we soon realize that managing regulatory compliance quickly becomes a monumental task requiring constant co-ordination and follow-up. There is a distinct lack of visibility of the compliance or non-compliance at a detail level and furthermore a critical lack of high-level transparency and reporting in terms of the overall compliance of a municipality.

There is a need for robust system-driven compliance management, auditing of the compliance process and the capability for data-mining to derive business intelligence from the compliance data. Force4Technology and its partners have taken the initiative to address these critical needs. The result is an innovative, secure cloud-based compliance regulations management system.

conformance

Solution Summary

The solution offers robust, proven technology, regulatory content & compliance management processes with the knowledge & expertise of Force4Technology.
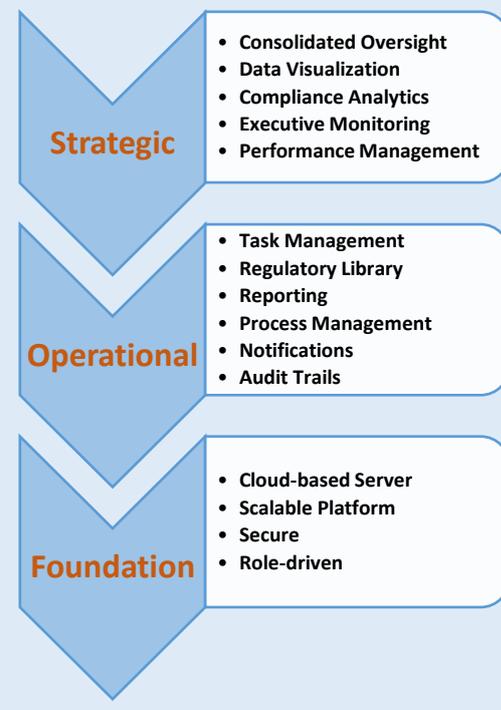
With Force4Technology, municipalities receive more than a compliance solution. They acquire access to an experienced partner and up-to-date regulatory content that leverages Force4Technology's deep expertise in public finance compliance and technology. When municipalities are reviewing their compliance system choices, many place mComply by Force4Technology at the top of the list for the following reasons:

■ ■ **Robust**: It is a robust compliance management solution helping municipalities to efficiently measure, monitor and manage compliance to Government regulations pertaining to municipalities.

■ ■ **Acts/Regulations Library**: It includes a library of regulatory acts and related legislation content which is managed and synchronised to the latest government legislations.

■ ■ **Experience**: Focused solely on supporting South African municipalities

■ ■ **Regulatory Support**: Ongoing support and expertise to address increasingly complex municipal regulatory requirements

■ ■ **Unique Model**: A proven, streamlined method for managing, tracking and reporting on the compliance process. Through a secure, cloud-based repository, immediately see all related tasks and information related to each specific regulatory act and the personnel involved.

■ ■ **Audit**: Comprehensive logging capability ensures 100% transparency of the compliance processes across all acts

■ ■ **Scalability**: Future-growth enabled for district model, multi-municipality and municipal entities

■ ■ **Business Intelligence**: Ability to view consolidated views of compliance across municipalities, districts on a national level incorporating GIS

■ ■ **Open Standards**: Ability to integrate with third-party providers and existing systems

■ ■ **Proven Ability to Deliver**: A successful track record meeting all commitments and obligations on time and within budget

The Best Solution for:

■ ■ **Single Source of Truth**: Centralizes compliance management and a regulations library of information as the single source of truth

■ ■ **Role-driven processes:** Creates complete views of compliance data at all levels of responsibility— operational and executive management

■ ■ **Real-time Compliance KPI's**: Fosters intelligent decision making with accurate, timely and relevant compliance assessment and analysis

Three Pillars of Compliance Technology

Strategic
- Consolidated Oversight
- Data Visualization
- Compliance Analytics
- Executive Monitoring
- Performance Management

Operational
- Task Management
- Regulatory Library
- Reporting
- Process Management
- Notifications
- Audit Trails

Foundation
- Cloud-based Server
- Scalable Platform
- Secure
- Role-driven

Solution Functions

Mobile and Web Applications

Regulations Library

Relevant acts and regulations are available for reference, searchable and sharing with colleagues.

Live Chat

Instant real-time chat.

Available on request

Events

Events management with Outlook calendar integration.

Available on request

Audits & Logging

A "compliance task" is "opened" and "closed" over a period of time to achieve resolution of a legislative activity consisting of multiple documents and communication records with multiple parties involved. All information is retained per fiscal year including digital evidence.

Employee Surveys

Create surveys, publish to employees. Reporting and survey analytics.

Available on request

Analytics

Analytics and reports on all compliance tasks.

Managers Dashboard

Administrators Dashboard

- Identify Bottlenecks
- Breaches
- Reporting
- Task Management
- System Configuration

Review & Approval Process

Ensures segregation of duties. The approver and reviewer cannot be the same as the submitter.

Push Notifications

Push notifications and dashboard reminders to keep on top of all legislative activities and important submission due dates.

Employee Collaboration
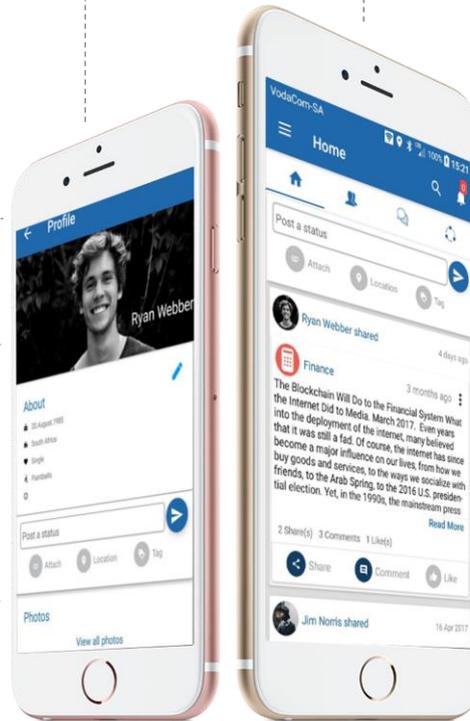
Tools to optimize engagement.

Available on request

Single Source of Truth

Search across fiscal years, acts or regulations for any legislative activity. See who was involved, who approved and what evidence was submitted. Openness and transparency guaranteed.

Mobility

Allows legislative tasks to be reviewed and approved via a mobile device.

Available on request

Value Proposition

Empower your employees, managers and executives to work collaboratively in a more synergistic way to achieve conformance to legislative mandates. The value and benefits offered by the mComply system are undeniable:

1   **Single Source of Truth**
All communications, supporting digital evidence submitted and the reference to the actual legislation is centralized for each legislative activity. No need to check for emails, files, and spreadsheets to find budget information, meeting minutes, etc.

2   **Segregation of Duties**
The completion of legislative regulatory activities cannot be accomplished by a single individual. Instead, the submitter and the reviewer are different persons to ensure proper oversight.

3   **Capacity Building & Education**
The online regulations library contains all relevant acts and regulations that is updated regularly. This library provides a central place for managers and staff to find the most up-to-date legislation – to be "in the know". This builds their capacity on the law and educates them on the latest regulations.

4   **Strengthen Communications**
mComply's underlying communication functions means that managers and employees can interact easily in an open and transparent manner when completing legislative tasks avoiding miscommunications and unnecessary delays.

5   **Reduce Costs and Increase Productivity**
Effective use of mComply can eliminate unnecessary paper, printing and postage and even decrease travel costs, while helping employee's engage and manage tasks more efficiently.

6   **Eliminate Regional and Global Communication Barriers**
mComply helps employees communicate beyond borders and time zones to complete legislative activities, eliminating information silos among departments and geographically distributed teams.

7   **Mobility**
mComply allows managers to review and approve legislative activities along with digital evidence conveniently and easily via the mobile device of choice.

Whether you're a Manager or the CFO of a local or district municipality, State-Owned Entity or Government department, mComply can help you optimize your compliance efforts by engaging your employees in order to meet your legislative mandates based on the relevant acts and regulations applicable to your organization.

About Force4Technology

Force4Technology is a South African technology consulting, services and outsourcing company. Combining unparalleled experience, comprehensive capabilities across all industries and business functions, Force4Technology collaborates with clients to help them become high-performance businesses and governments. Its flagship mComply Regulatory Compliance Management System empowers municipalities, government departments and SOEs to better manage their legislative activities in a whole new open and transparent manner.

BBEEE Credentials

Force4Technology is a Proudly Level 1 BBEEE 135 % Contributor

Contact

To learn how Force4Technology can help unlock the human capital in your organization, please contact us at **info@force4technology.com**.

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